

Index of Canadian Securities Administrator Instrument and Policies (Yukon)

Created in Accordance with Section 5(1)(d) of Local Rule 11-802 made under section 169 of the *Securities Act S.Y. 2007, c.16*.

Tab #	Instrument Number	Type of Instrument or Policy	Instrument Name	Effective Date In Yukon	Amendment Effective Dates	Date of Repeal
1	11-102	Multilateral Instrument	Passport System	March 17, 2008	January 1, 2011 (tab B) April 30, 2010 (tab A) September 28, 2009 (c*)	
2	11-102	Companion Policy	Passport System	March 17, 2008	January 1, 2011 (tab A)	
3	13-101	National Instrument	System for Electronic Document Analysis and Retrieval (SEDAR)	March 17, 2008	Jan 1, 2011 IFRS (tab A) Jan 1, 2011 POS (tab B)	
4	14-101	National Instrument	Definitions	March 17, 2008	January 1, 2011 (tab B) April 30, 2010 (tab A) September 28, 2009 (c*)	
5	21-101	National Instrument	Marketplace Operations	March 17, 2008	January 1, 2011 (tab B) January 28, 2010 (tab A) September 12, 2008 (c*)	
6	21-101	Companion Policy	Marketplace Operations	March 17, 2008	January 28, 2010 (tab B) September 12, 2008 (c*)	
13	23-101	National Instrument	Trading Rules	March 17, 2008	January 28, 2010 (tab A) February 1, 2011 (tab A)	
14	23-101	Companion Policy	Trading Rules	March 17, 2008	January 28, 2010 (tab B) February 1, 2011 (tab B)	
15	23-102	National Instrument	Use of Client Brokerage Commission as Payment for Order Execution Services or Research Services	June 30, 2010	Nil	
16	24-101	National Instrument	Institutional Trade Matching and Settlement	March 17, 2008	July 1, 2010 (tab A) September 28, 2009 (c*)	
17	24-101	Companion Policy	Institutional Trade Match and Settlement	March 17, 2008	September 28, 2009 (c*)	
23	31-102	National Instrument	National Registration Database	March 17, 2008	September 28, 2009 (c*)	
24	31-102	Companion Policy	National Registration Database	March 17, 2008	September 28, 2009 (c*)	
25	31-103	National Instrument	Registration Requirements and Exemptions	September 28, 2009	January 1, 2011 (tab A)	
26	31-103	Companion Policy	Registration Requirements and Exemptions	September 28, 2009	January 1, 2011 (tab A)	
30	33-102	National Instrument	Regulation of Certain Registrant Activities	March 17, 2008	September 28, 2009 (c*)	

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31	33-102	Companion Policy	Registration of Certain Registrant Activities	March 17, 2008	September 28, 2009 (c*)	
32	33-105	National Instrument	Underwriting Conflicts	March 17, 2008	September 28, 2009 (c*)	
33	33-105	Companion Policy	Underwriting Conflicts	March 17, 2008	September 28, 2009 (c*)	
34	33-109	National Instrument	Registration Information	March 17, 2008	January 1, 2011 (tab A) September 28, 2009 (c*)	
35	33-109	Companion Policy	Registration Information	March 17, 2008	September 28, 2009 (c*)	
42	35-101	National Instrument	Conditional Exemptions from Registration for United States Broker-Dealers and Agents	March 17, 2008	Nil	
43	35-101	Companion Policy	Conditional Exemptions from Registration for United States Broker-Dealers and Agents	March 17, 2008	Nil	
46	41-101	National Instrument	General Prospectus Requirements	March 17, 2008	January 1, 2011 (tab C) December 30, 2010 (tab B) June 30, 2010 (tab A)	
47	41-101	Companion Policy	General Prospectus Requirements	March 17, 2008	January 1, 2011 (tab B) June 30, 2010 (tab A)	
50	43-101	National Instrument	Standards of Disclosure for Mineral Projects	March 17, 2008	Nil	
51	43-101	Companion Policy	Standards of Disclosure for Mineral Projects	March 17, 2008	Nil	
52	44-101	National Instrument	Short Form Prospectus Distributions	March 17, 2008	January 1, 2011 (tab A)	
53	44-101	Companion Policy	Short Form Prospectus Distributions	March 17, 2008	January 1, 2011 (tab A)	
56	44-102	National Instrument	Shelf Distributions	March 17, 2008	January 1, 2011 (tab A)	
57	44-102	Companion Policy	Shelf Distributions	March 17, 2008	Nil	
58	44-103	National Instrument	Post-Receipt Pricing	March 17, 2008	Nil	
59	44-103	Companion Policy	Post-Receipt Pricing	March 17, 2008	Nil	
60	45-101	National Instrument	Rights Offerings	March 17, 2008	Nil	
61	45-101	Companion Policy	Rights Offerings	March 17, 2008	Nil	
63	45-102	National Instrument	Resale of Securities	March 17, 2008	September 28, 2009 (c*)	
64	45-102	Companion Policy	Resale of Securities	March 17, 2008	September 28, 2009 (c*)	

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Tab #	Instrument Number	Type of Instrument or Policy	Instrument Name	Effective Date In Yukon	Amendment Effective Dates	Date of Repeal
66	45-106	National Instrument	Prospectus and Registration Exemptions	March 17, 2008	January 1, 2011 (tab A) September 28, 2009 (c*)	
67	45-106	National Instrument	Prospectus and Registration Exemptions	March 17, 2008	January 1, 2011 (tab A) September 28, 2009 (c*)	
73	46-201	National Instrument	Escrow for Initial Public Offerings	September 28, 2009	Nil	
75	51-101	National Instrument	Standards of Disclosure for Oil and Gas Activities	March 17, 2008	December 30, 2010 (tab A)	
76	51-101	Companion Policy	Standards of Disclosure for Oil and Gas Activities	March 17, 2008	Nil	
80	51-102	National Instrument	Continuous Disclosure Obligations	March 17, 2008	January 1, 2011 (tab A) December 31, 2008 (c*) September 29, 2008 (c*) July 4, 2008 (c*)	
81	51-102	Companion Policy	Continuous Disclosure Obligations	March 17, 2008	January 1, 2011 (tab A)	
88	52-107	National Instruments	Acceptable Accounting Principles, Auditing Standards and Reporting Currency	March 17, 2008	January 1, 2011 (tab A)	
89	52-107	Companion Policy	Acceptable Accounting Principles, Auditing Standards and reporting Currency	March 17, 2008	January 1, 2011 (tab A)	
90	52-108	National Instrument	Auditor Oversight	March 17, 2008	Nil	
91	52-109	National Instrument	Certification of Disclosure in Issuers' Annual and Interim Filings	March 17, 2008	January 1, 2011 (tab A) December 15, 2008 (c*)	
92	52-109	Companion Policy	Certification of Disclosure in Issuers' Annual and Interim Filings	March 17, 2008	January 1, 2011 (tab A) December 15, 2008 (c*)	
102	52-110	National Instrument	Audit Committees	March 17, 2008	January 1, 2011 (tab A)	
103	52-110	Companion Policy	Audit Committees	March 17, 2008	Nil	
106	54-101	National Instrument	Communication with Beneficial Owners of Securities of a Reporting Issuer	March 17, 2008	January 1, 2011 (tab A)	
107	54-101	Companion Policy	Communication with Beneficial Owners of Securities of a Reporting Issuer	March 17, 2008	Nil	

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Tab #	Instrument Number	Type of Instrument or Policy	Instrument Name	Effective Date In Yukon	Amendment Effective Dates	Date of Repeal
117	55-101	National Instrument	Insider Reporting Exemptions	March 17, 2008	Nil	April 30, 2010
118	55-101	Companion Policy	Insider Reporting Exemptions	March 17, 2008	Nil	April 30, 2010
119	55-102	National Instrument	System for Electronic Disclosure by Insiders (SEDI)	March 17, 2008	Nil	
120	55-102	Companion Policy	System for Electronic Disclosure by Insiders (SEDI)	March 17, 2008	Nil	
127	55-103	National Instrument	Insider Reporting for Certain Derivative transactions (Equity Monetization)	March 17, 2008	Nil	April 30, 2010
128	55-103	Companion Policy	Insider Reporting for Certain Derivative transactions (Equity Monetization)	March 17, 2008	Nil	April 30, 2010
129	55-104	National Instrument	Insider Reporting Requirements and Exemptions	April 30, 2010	Nil	
130	55-104	National Instrument	Insider Reporting Requirements and Exemptions	April 30, 2010	Nil	
131	58-101	National Instrument	Disclosure of Corporate Governance Practices	March 17, 2008	Nil	
132	62-103	National Instrument	The Early Warning System and Related Take-Over Bid and Insider Reporting issues	March 17, 2008	April 30, 2010 (tab A)	
135	62-104	Multilateral Instrument	Take-Over Bids and Issuer Bids	March 17, 2008	January 1, 2011 (tab A)	
141	71-101	National Instrument	The Multijurisdictional Disclosure System	March 17, 2008	Nil	
142	71-101	Companion Policy	The Multijurisdictional Disclosure System	March 17, 2008	Nil	
144	71-102	National Instrument	Continuous Disclosure and Other Exemptions Relating to Foreign Issuers	March 17, 2008	January 1, 2011 (tab A)	

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